



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert F. Gazdzinski  
App. No. : 10/651,451  
Filed : August 29, 2003  
For : **SMART ELEVATOR  
SYSTEM AND METHOD**  
Examiner : Unknown  
Group Art Unit: 2635


**27299**

PATENT, TRADEMARK OFFICE

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 1, 2004

(Date)

  
Robert F. Gazdzinski, Reg. No. 39,990**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Attn: Group Art Unit 2635

Dear Sir:

Enclosed for filing in the above-identified application are:

1. Information Disclosure Statement (2 pages);
2. Form PTO-1449 (2 pages) listing twenty-nine (29) references; and,
3. Return prepaid postcard.

Respectfully submitted,

GAZDZINSKI &amp; ASSOCIATES

Dated: 9/1/04By: 

Robert F. Gazdzinski, Esq.  
Registration No. 39,990  
Attorney of Record  
11440 West Bernardo Court, Suite 375  
San Diego, CA 92127  
Telephone: (858) 675-1670  
Facsimile: (858) 675-1674



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Robert F. Gazdzinski	)
App. No.	:	10/651,451	)
Filed	:	August 29, 2003	)
For	:	<b>SMART ELEVATOR SYSTEM AND METHOD</b>	)
Examiner	:	Unknown	)
Group Art Unit:	:	2635	)

---

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing twenty-nine (29) references, all of which were previously disclosed to or cited by the Patent and Trademark Office in the prosecution of U.S. patent application Serial No. 09/330,101, filed June 10, 1999, now Patent No. 6,615,175, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. 120. Copies of these references are not submitted pursuant to 37 C.F.R. §1.98(d).

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this

**App. No.** : 10/651,451  
**Filed** : August 29, 2003

Statement, it is requested that the Commissioner charge any fees under 37 C.F.R. § 1.16 and § 1.17 to Deposit Account No. 501423.

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information cited is considered to be material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this application. Further, Applicant makes no representation regarding the completeness of this list or that better art does not exist.

Respectfully submitted,

GAZDZINSKI & ASSOCIATES

Dated: \_\_\_\_\_

9/1/04

By: \_\_\_\_\_

Robert F. Gazdzinski, Esq.  
Registration No. 39,990  
Attorney of Record  
11440 West Bernardo Court, Suite 375  
San Diego, CA 92127  
Telephone No. (858) 675-1670  
Facsimile No. (858) 675-1674

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
RFG.008C1APPLICATION NO.  
10/651,451INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

SEE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Robert F. GazdzinskiFILING DATE  
August 29, 2003GROUP  
2635

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,534,056	08/1985	Feilchenfeld, et al.			
	2	4,577,177	03/18/86	Marubashi			
	3	4,708,224	11/1987	Schrooder			
	4	4,749,062	06/07/88	Tsuji, et al.			
	5	4,979,593	12/25/90	Watanabe, et al.			
	6	4,995,479	02/26/91	Fujiwara, et al.			
	7	5,042,620	08/27/91	Yoneda, et al.			
	8	5,056,629	10/15/91	Tsuji, et al.			
	9	5,200,583	04/1993	Kupersmith, et al.			
	10	5,255,341	10/19/93	Nakajima			
	11	5,287,266	02/15/94	Malec, et al.			
	12	5,295,064	03/15/94	Malec, et al.			
	13	5,485,897	01/23/96	Matsumoto, et al.			
	14	5,551,532	09/03/96	Kupersmith			
	15	5,606,154	02/25/97	Doigan, et al.			
	16	5,749,443	05/1998	Romao			
	17	5,844,181	12/01/98	Amo, et al.			
	18	5,955,710	09/21/99	DiFranza			
	19	6,073,727	06/2000	DiFranza, et al.			
	20	6,082,500	07/2000	Amo, et al.			
	21	6,202,008	03/2001	Beckert, et al.			
	22	6,206,142	03/2001	Meacham			
	23	6,223,160	04/2001	Kostka, et al.			
	24	6,349,797	02/26/02	Newville, et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

FORM PTO-1449      U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT          BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. RFG.008C1	APPLICATION NO. 10/651,451
	APPLICANT Robert F. Gazdzinski	
	FILING DATE August 29, 2003	GROUP 2635

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	25	D.K. Kahaner (March 16, 1991) "Hitachi 1991 Technology Exhibition, Tokyo," Asian Technology Information Program, Pages 1-14.
	26	Karen Jacobs (December 7, 1999) "Elevator Maker to Add Commercial Touch," The Wall Street Journal, Pages 1-2.
	27	Lewis Perdue (July 20, 1999) "Forget Elevator Music, Here Comes Elevator Internet," Internet VC Watch, Pages 1-2.
	28	Stevens Institute of Technology, Spring 1999 Final Report, Pages 1-12.
	29	Kenji Yoneda, et al. (December, 1997) "Multi-Objective Elevator Supervisory-Control System with Individual Floor-Situation Control," Hitachi Review, Page 1.

EXAMINER	DATE CONSIDERED
<b>*EXAMINER:</b> INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	